

For Campaign Use Only
Solicitor's Initials

For Treasurer Use Only
Contribution ID #

Contribution Certification Form – Individual Human Beings

30th District Republican Senatorial Committee – Michael J Flint, Treasurer

NAME OF INDIVIDUAL CONTRIBUTOR (Last Name, First Name, Middle Initial)

STREET ADDRESS (P.O. Boxes are not permitted) **PHONE / EMAIL ADDRESS**

CITY **STATE** **ZIP CODE**

NAME OF EMPLOYER *If multiple employers and one is a state contractor, list the state contractor. If self-employed, provide Name of Business. Example: Dave's Painting; Other Examples: Retired, Unemployed, Student, Homemaker* **PRINCIPAL OCCUPATION** *If self-employed, provide Job Description. Example: Painter; Other Examples: Retired, Unemployed, Student, Homemaker*

CONTRIBUTION AMOUNT **METHOD OF CONTRIBUTION**

\$ Cash EFT Personal Check # _____ Credit/Debit Card
 Payroll Deduction Money Order

In-Kind Contribution (Provide the value in the Contribution Amount field and a description in the space below):

Please review the definitions on the reverse of this form and answer each of the following:

Yes No 1. Are you a communicator lobbyist?
 Yes No 2. Are you the spouse or dependent child of a communicator lobbyist?
 Yes No 3. Are you a principal of a state contractor or prospective state contractor? If yes, please indicate which branch or branches of government the contract(s) is with: Legislative Executive
 Yes No 4. Are you a principal of a holder of a valid prequalification issued by the Commissioner of Administrative Services?
 Yes No 5. If you answered YES to question 2, 3, or 4: Are you an elected public official?

CERTIFICATION

I hereby certify and state that all of the information disclosed by me and set forth above on this contributor card is true and accurate to the best of my knowledge and belief. I certify that I am either a United States citizen or a foreign national with permanent resident status in the United States, and that I am either 18 years of age or older, or if I am less than 18 years of age I have not contributed more than \$30 to the committee. I certify that this contribution is being made from my personal funds or my personal credit card for which I have a legal obligation to pay and intend to pay from my own personal funds, is not being reimbursed in any manner, is not an otherwise prohibited contribution, and that payment on this card is not made from the funds of a corporation, labor organization or any other entity.

SIGNATURE OF CONTRIBUTOR DATE (mm/dd/yyyy)

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“State contract”: an agreement or contract with the state or any state agency or any quasi-public agency, let through a procurement process or otherwise, having a value of \$50,000 or more, or a combination or series of such agreements or contracts having a value of \$100,000 or more in a calendar year, for (i) the rendition of services, (ii) the furnishing of any goods, material, supplies, equipment or any items of any kind, (iii) the construction, alteration or repair of any public building or public work, (iv) the acquisition, sale or lease of any land or building, (v) a licensing arrangement, or (vi) a grant, loan or loan guarantee. “State contract” does not include any agreement or contract with the state, any state agency or any quasi-public agency that is exclusively federally funded, an education loan, a loan to an individual for other than commercial purposes or any agreement or contract between the state or any state agency and the United States Department of the Navy or the United States Department of Defense. *See* General Statutes § 9-612 (f) (1) (C).

“State contractor”: a person, business entity or nonprofit organization that enters into a state contract. Such person, business entity or nonprofit organization shall be deemed to be a state contractor until December 31 of the year in which such contract terminates. “State contractor” does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person’s capacity as a state or quasi-public agency employee. *See* General Statutes § 9-612 (f) (1) (D).

“Prospective state contractor”: a person, business entity or nonprofit organization that (i) submits a response to a state contract solicitation by the state, a state agency or a quasi-public agency, or a proposal in response to a request for proposals by the state, a state agency or a quasi-public agency, until the contract has been entered into, or (ii) holds a valid prequalification certificate issued by the Commissioner of Administrative Services under section 4a-100. “Prospective state contractor” does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person’s capacity as a state or quasi-public agency employee. *See* General Statutes § 9-612 (f) (1) (E).

“Principal of a state contractor or prospective state contractor”: (i) an individual who is a member of the board of directors of, or has an ownership interest of five per cent or more in a state contractor or prospective state contractor, which is a business entity, except for an individual who is a member of the board of directors of a nonprofit organization, (ii) an individual who is employed by a state contractor or prospective state contractor, which is a business entity, as president, treasurer or executive vice president, (iii) an individual who is the chief executive officer of a state contractor or prospective state contractor, which is not a business entity, or if a state contractor or prospective state contractor has no such officer, then the officer who duly possesses comparable powers and duties, (iv) an officer or an employee of any state contractor or prospective state contractor who has managerial or discretionary responsibilities with respect to a state contract, (v) the spouse or a dependent child who is eighteen years of age or older of an individual described in (i), (ii), (iii), or (iv), or (vi) a political committee established or controlled by an individual described in (i), (ii), (iii), (iv), or (v) or the business entity or nonprofit organization that is the state contractor or prospective state contractor. *See* General Statutes § 9-612 (f) (1) (F).

Lobbyist Disclosure and Sessional Limitations

The law requires disclosure of the contributor’s status as a lobbyist, the spouse of a lobbyist or any dependent child of a lobbyist who resides in the lobbyist’s household, General Statutes § 9-608 (c). In addition, during the legislative session, a political committee that failed to certify on its registration statement that it was “not established (a) for an assembly or senatorial district, (b) by an elected statewide official, a member of the General Assembly or their agent, or (c) in consultation with or at the request or suggestion of any such official, member or their agent, or controlled by such official, member or agent,” is prohibited from receiving lobbyist contributions or benefiting from lobbyist solicitations during the session. *See* General Statute § 9-610 (e).

\$100 Lobbyist Contribution Limit to a Political Committee if it is Established or Controlled by a Candidate for Statewide or General Assembly Office

Connecticut General Statutes § 9-610 (g) provides in part that no communicator lobbyist or member of the immediate family of a communicator lobbyist and that

no political committee established or controlled by a communicator lobbyist or member of the immediate family of a communicator lobbyist shall make a contribution or contributions to, or for the benefit of a political committee established or controlled by a candidate for statewide or General Assembly office **in excess of one hundred dollars.**

“Lobbyist”: a person who in lobbying and in furtherance of lobbying makes or agrees to make expenditures, or receives or agrees to receive compensation, reimbursement, or both, and such compensation, reimbursement or expenditures are \$3,000 or more in any calendar year or the combined amount thereof is \$3,000 or more in any such calendar year. *See* General Statutes § 1-91 (12) (as amended by Public Act 15-15).

“Communicator lobbyist”: a lobbyist who communicates directly or solicits others to communicate with an official or his staff in the legislative or executive branch of government or in a quasi-public agency for the purpose of influencing legislative or administrative action. *See* General Statutes § 1-91 (22).

“Client lobbyist”: a lobbyist on behalf of whom lobbying takes place and who makes expenditures for lobbying and in furtherance of lobbying. *See* General Statutes § 1-91 (21).

“Immediate family”: the spouse or a dependent child of an individual. *See* General Statutes § 9-601 (24).

“Dependent child”: a child residing in an individual’s household who may legally be claimed as a dependent on the federal income tax return of such individual. *See* General Statutes § 9-612 (f) (1) (G).